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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hans-Jürgen FRASE  
Martin BÄHNER

Title: SECURITY ROOM FOR INFORMATION  
TECHNOLOGY FACILITIES

Serial No.: 09/856,032

Based Upon: PCT/EP99/03122

Express Mail No.: EL815472480US

Date of Deposit: 16 August 2001

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

**Box PCT**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that the following requisites of the subject patent application are being deposited with the United States Postal Service as Express Mail Post Office to Addressee No. EL815472480US, on 16 August 2001, and is addressed to Box PCT, Assistant Commissioner for Patents, Washington, D.C. 20231, together with the items listed below.

- Transmittal Letter (Form PTO-1390), in duplicate
- Executed German Language Declaration (4 pages), attached to a German language copy of the International Application

Serial No.: 09/856,032

- Recordation Form Cover Sheet, together with executed Assignment Document (2 pages)
- Request for Refund (37 CFR 1.28(a)) for International Application Entering National Stage in U.S. Elected Office (EO/US) Under 35 USC 371, in duplicate
- Executed Claim for Small Entity Status document
- A check in the amount of \$105.00 to cover the fees set forth in the Transmittal Letter
- Copy of the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)
- Return Receipt Postcard

Respectfully submitted,



Douglas H. Pauley  
Regis. No. 33,295

Pauley Petersen Kinne & Fejer  
2800 West Higgins Road  
Suite 365  
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(847) 490-1400  
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**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

VO-536

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

09/856,032

INTERNATIONAL APPLICATION NO.  
PCT/EP99/03122INTERNATIONAL FILING DATE  
06 May 1999PRIORITY DATE CLAIMED  
16 November 1998

## TITLE OF INVENTION

SECURITY ROOM FOR INFORMATION TECHNOLOGY FACILITIES

## APPLICANT(S) FOR DO/EO/US

Hans-Jürgen FRASE et al.

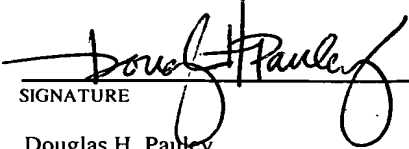
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND OR SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)). (verified)
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (4 pages, executed, attached to a copy of the International Application)
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ A First Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - Copy of Notification of Missing Requirements Under 35 U.S.C. 371
  - Certificate of Mailing by Express Mail (2 pages)
  - Return Receipt Postcard
  - Request for Refund (37 CFR 1.28(a)) for International Application Entering National Stage in U.S. Elected Office (EO/US) under 35 U.S.C. 371, in duplicate
  - Executed Claim for Small Entity Status document

EXPRESS MAIL NO.: EL815472480US  
MAILED: 16 August 2001

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 09/856,032		INTERNATIONAL APPLICATION NO. PCT/EP99/03122		ATTORNEY'S DOCKET NUMBER VO-536		
17. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b> Search Report has been prepared by the EPO or JPO ..... \$ 860.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$ 690.00  No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$ 710.00  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$ 1,000.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$ 100.00				<b>CALCULATIONS</b>		<b>PTO USE ONLY</b>
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>						
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130.00		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
Total claims	- 20 =		X \$18.00			
Independent claims	- 03 =		X \$80.00			
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00			
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 130.00		
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$ 65.00		
<b>SUBTOTAL =</b>				\$ 65.00		
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+		
<b>TOTAL NATIONAL FEE =</b>				\$ 65.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+		
<b>TOTAL FEES ENCLOSED =</b>				\$ 105.00		
08/21/2001 MKAYPAGH 00000051 09856032 01 FC:254 65.00 00				Amount to be: refunded	\$	
				charged	\$	
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>105.00</u> to cover the above fee is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-3550</u> . A duplicate copy of this sheet is enclosed.						
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.						
SEND ALL CORRESPONDENCE TO:  Pauley Petersen Kinne & Fejer 2800 West Higgins Road, Suite 365 Hoffman Estates, Illinois 60195 (847) 490-1400 Fax: (847) 490-1403						
				 SIGNATURE  Douglas H. Pauley  NAME  33,295  REGISTRATION NUMBER		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hans-Jürgen FRASE  
Martin BÄHNER

Serial No.: 09/856,032

Title: SECURITY ROOM FOR INFORMATION  
TECHNOLOGY FACILITIES

International  
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**Box PCT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**ATTENTION: (EO/US)**

**REQUEST FOR REFUND (37 CFR 1.28(a)) FOR  
INTERNATIONAL APPLICATION ENTERING NATIONAL STAGE  
IN U.S. ELECTED OFFICE (EO/US) UNDER 35 USC 371**

**I. SUBMISSION OF VERIFIED STATEMENT**

Attached is a verified statement claiming small entity status in this application.

Serial No.: 09/856,032

## II. REFUND REQUEST

This request for refund is made within three months of the date a fee was paid in this application on 16 May 2001 in the amount of \$860.00.


## III. FEES PAID FOR WHICH REFUND REQUESTED

- (a)   x   Filing Fee under 37 CFR 1.492 \$   860.00
- (b)      Fees for claims under 37 CFR  
1.492(b), (c) or (d) \$
- (c)      Surcharge for filing the Oath  
or Declaration on a date later  
than 30 months from the earliest  
priority date (37 CFR 1.492(e)) \$
- TOTAL REFUND REQUESTED: \$   430.00

## IV. MANNER OF REFUND

Please make refund by crediting Deposit Account No. 19-3550.

Respectfully submitted,

  
Douglas H. Pauley  
Registration No. 33,295

Pauley Petersen Kinne & Fejer  
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